

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/439,152
Filing Date	November 12, 1999
First Named Inventor	Kurt W. Piersol
Art Unit	2622
Examiner Name	Arthur G. Evans
Attorney Docket Number	74451P106


Total Number of Pages in This Submission 6

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<div>Return Receipt Postcard; Copies of Cited Art (7).</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> PTO/SB/08	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		


Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael J. Mallie, Reg. No. 36,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	September 18, 2006

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Kelly Patterson		
Signature		Date	September 18, 2006

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP
1279 OAKMEAD PARKWAY
SUNNYVALE, CA 94085-4040
(408) 720-8598

18-339/1220
380

September 15, 2006

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

CHECK NO: 007262 7262

VENDOR NO.: 005173

NAME: Director of Pat & TM- SV

CHECK DATE: 09/15/2006

Invoice: 09/15/06 Amount: 180.00

074451.P106

074451

idsf - Information Disclosure Statement filing fee

Title: A System for Capturing Facsimile Data in an Electron
ic Document Management System

App. No.: 09/439,152

Filed: 11/12/99

Inv.: Kurt W. Piersol

S

TOTAL:

180.00

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

7262

✓

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SEP 25 2006

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

Application No.: 09/439,152 Filing Date: 11/12/1999 Docket #: 74451.P106
Date Mailed: 09/18/2006 Due Date(s): _____ Atty/Sec: MJM/kep
Client: RICOH CORPORATION
Title: A SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC
DOCUMENT MANAGEMENT SYSTEM

First Named Inventor: Kurt W. Piersol

The following has been received in the U.S.P.T.O. on the date stamped hereon:

Certificate of Mailing
Fee Transmittal (original & copy)
Information Disclosure Statement & PTO/SB/08 (3 pgs)
Transmittal Letter
Copies of Cited Art (7)
Check No. 7262 in the amount of \$180.00
Postcard

Assignee: Ricoh Co., Ltd.



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/439,152
Filing Date	November 12, 1999
First Named Inventor	Kurt W. Piersol
Examiner Name	Arthur G. Evans
Art Unit	2622
Attorney Docket No.	74451P106

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

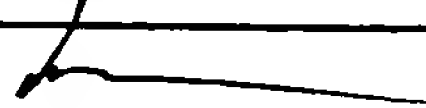
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☒ Credit any overpayments

FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051		2051		Surcharge - late filing fee or oath	
1052		2052		Surcharge - late provisional filing fee or cover sheet.	
2053		2053		Non-English specification	
1251		2251		Extension for reply within first month	
1252		2252		Extension for reply within second month	
1253		2253		Extension for reply within third month	
1254		2254		Extension for reply within fourth month	
1255		2255		Extension for reply within fifth month	
1401		2401		Notice of Appeal	
1402		2402		Filing a brief in support of an appeal	
1403		2403		Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460		2460		Petitions to the Commissioner	
1807		1807		Processing fee under 37 CFR 1.17(q)	
1806		1806		Submission of Information Disclosure Stmt	180.00
1809		1809		Filing a submission after final rejection (37 CFR § 1.129(a))	
1810		2810		For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
				SUBTOTAL (2)	(\$) 180.00

SUBMITTED BY

Name (Print/Type)	Michael J. Mallie	Registration No. (Attorney/Agent)	36,591	Telephone	(408) 720-8300
Signature		Date	09/18/06		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

KURT W. PIERSOL, ET AL.

Application No.: 09/439,152

Filed: November 12, 1999

For: **A SYSTEM FOR CAPTURING
FACSIMILE DATA IN AN
ELECTRONIC DOCUMENT
MANAGEMENT SYSTEM**

Art Group: 2622

Examiner: Arthur G. Evans

20

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: September 18, 2006



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Kelly Patterson

09-18-06

Date

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Examiner Name	Arthur G. Evans
Attorney Docket Number	74451P106

Sheet 1 of 1

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T²
		Country Code³ - Number⁴ - Kind Code⁵ (if known)				
	1.	JP 10-154228	06-09-1998	Ricoh Co. Ltd.	English Abstract	
	2.	JP 6-133090	05-13-1994	Canon Inc.	English Abstract	
	3.	JP 11-88633	03-30-1999	Ricoh Co. Ltd.	English Abstract	
	4.	JP 11-184742	07-09-1999	Canon Inc.	English Abstract	
	5.	JP H9-294206	11-11-1997	Brother Ind. Ltd.	English Abstract	
	6.	JP H11-243470	09-07-1999	Ricoh Co. Ltd.	English Abstract	
	7.	JP H11-155052	06-08-1999	Ricoh Co. Ltd.	English Abstract	

Date
Considered

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